



CCSBT-CC/2410/09

**Draft Revised Minimum Performance Requirements  
(Compliance Policy Guideline 1): Transshipment Resolution**  
最低履行要件（遵守政策ガイドライン1）改訂案：転載決議

## 1. Introduction

### 序論

The “[Resolution on Establishing a Program for Transshipment by Large-Scale Fishing Vessels](#)”, was revised in 2023 at CCSBT 30. This paper proposes revisions to CCSBT’s Minimum Performance Requirements (CPG 1) to take these recent revisions into account.

「[大型漁船の転載に関する計画創設に関する決議](#)」は2023年のCCSBT 30において改正された。本文書では、直近に行われたこれらの改正点を考慮してCCSBT最低履行要件（CPG1）の改訂を提案する。

## 2. Background

### 背景

CPG1<sup>1</sup> sets out the minimum requirements that need to be met by Members to fulfil their obligations with respect to each of CCSBT’s obligations. CCSBT obligations are listed on the left-hand side of the table in **Attachment A** and are derived from relevant CCSBT Decisions, Resolutions or Recommendations. The corresponding Minimum Performance Requirements (MPRs) are specified to the right of each obligation.

CPG1は、CCSBTにおける種々の義務について、各メンバーがそれぞれの義務を履行するために満たす必要がある最低要件を定めるものである。関連するCCSBTの決定、決議又は勧告に基づくCCSBTにおける義務を別紙Aの表の左側に示した。各義務に対応する最低履行要件（MPR）は表の右側に記載されている。

CPG1 is also the key reference document against which the CCSBT’s programme of Quality Assurance Reviews (QARs) are conducted. Therefore, it is important that CPG1 is updated at CC19 to reflect the 2023 revisions made to CCSBT’s Transshipment Resolution before the QAR of Indonesia’s transshipment obligations is conducted in 2025.

またCPG1は、CCSBT品質保証レビュープログラムを実施する際の重要な参照文書である。このため、2025年にインドネシアの転載関連の義務にかかるQARが実施される前に、CC19において2023年の改正CCSBT転載決議を反映する形でCPG1をアップデートすることが重要である。

## 3. Draft Revised MPRs

### 改訂MPR案

Proposed draft revisions to the MPRs are provided at **Attachment A** for Members’ consideration and are summarised below.

メンバーによる検討のため、MPRに対する改訂案を別紙Aに示した。改訂案の概要は以下のとおりである。

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<sup>1</sup> “[Minimum Performance Requirements to Meet CCSBT Obligations](#)” (“Compliance Policy Guideline 1”).

The updates proposed are:

提案した改定案は以下のとおりである。

- Revisions to match the editorial updates to the Resolution proposed and agreed by CCSBT 30 in 2023<sup>2</sup>;  
2023年のCCSBT 30において提案及び合意された、決議に対する編集上の修正と合致させるための修正
- Revisions to match the proposed strengthening updates to the Resolution *e.g.* the introduction of mandatory requirements to provide IMO numbers in some cases, the separation of cargo provisions, *i.e.* separate stowage and stowage plans, agreed by CCSBT 30 in 2023<sup>3</sup> (note that supply declaration provisions were added in 2023 but are not being considered to become operational until 2025);  
2023年のCCSBT 30により合意された決議強化のための改正点（例えば一部のケースにおいてIMOナンバーを提出する義務的要件の導入、コンテナ船除外規定の削除、積載物の分離及び積載計画など）に合致されるための修正。  
（ただし、補給申告書に関する規定は2023年に追加されたが2025年まで運用が開始されないことに留意）
- Revisions to match the newly added obligations associated with Indonesia's two-year trial at-sea transshipment observer programme using its own national observers rather than Regional Observer Program Observers (paragraphs 13 to 16 inclusive of CCSBT's Transshipment Resolution); and  
地域オブザーバー計画オブザーバーではなく独自の国内オブザーバーを使って実施するインドネシアによる2年間の試行的洋上転載オブザーバー計画（CCSBT転載決議パラグラフ13から16まで）に関して新たに追加された義務に合致させるための修正
- Additional proposed revisions to align references to Resolutions, such as to refer to CCSBT's VMS Resolution (2017) rather than its predecessor, and insertion of Member/CNM authorised Carrier Vessels in obligation xiii and its MPRs, which were previously missing.  
決議の参照条文を整合されるための追加的修正案（例えば旧決議ではなく2017年CCSBT VMS決議の参照、これまで欠落していたメンバー/CNM許可運搬船にかかる義務 xiii 及びこれに対応するMPRの挿入）

#### 4. Summary

##### まとめ

CC19 is invited to consider the proposed amendments to CPG1 (**Attachment A**), which primarily reflect the agreed 2023 revisions to CCSBT's Transshipment Resolution, and recommend these to the Extended Commission.

CC 19は、主に2023年に合意された改正CCSBT転載決議を反映したCPG1改訂案（別紙A）について検討し、拡大委員会に対してこれらを勧告するよう招請されている。

#### 事務局作成文書

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<sup>2</sup> Refer to CCSBT-CC/2410/BGD 01 which is CC18 paper [CCSBT-CC/2310/11](#) (Attachment C) – revisions tracked in blue 文書 CCSBT-CC/2410/BGD 01 の別紙 C を参照。修正部分は青で見え消し表示

<sup>3</sup> Refer to CCSBT-CC/2410/BGD 01 which is CC18 paper [CCSBT-CC/2310/11](#) (Attachment C) – strengthening revisions tracked in red. Most of the proposed strengthening updates in the paper were agreed but not those initially proposed at paragraphs 5 and 23 CCSBT-CC/2410/BGD 01 の別紙を参照。決議強化のための修正は赤で見え消し表示。当該文書で提案された強化点は大部分が合意されたが、当初提案されたパラグラフ 5 及び 23 は合意されなかった。

**Minimum performance requirements to meet CCSBT Obligations**

***Compliance Policy Guideline 1***

*(Revised at the ~~Thirty-First~~<sup>Twenty-Eighth</sup> Annual Meeting: ~~10<sup>3</sup>~~ October 202~~4~~<sup>1</sup>)*

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## 2.3 Record of Authorised Carrier Vessels (part of Transhipment Resolution)

**Title:** Record of vessels authorised to receive transhipments involving SBT at-sea or in port (*from section 2 of the “Resolution on Establishing a Program for Transhipment by Large-Scale Fishing Vessels”*)

**Link:** [https://www.ccsbt.org/sites/default/files/userfiles/file/docs\\_english/operational\\_resolutions/Resolution\\_Transhipment.pdf](https://www.ccsbt.org/sites/default/files/userfiles/file/docs_english/operational_resolutions/Resolution_Transhipment.pdf)

**Notes:** The obligations for the remainder of the Transhipment Resolution are in section 3.3 (Transhipment Monitoring Program). For the purposes of this Resolution, Carrier Vessels not entered on the record are deemed not to be authorised to receive SBT in either at-sea or in-port transhipment operations.

2.3 Record of Authorised Carrier Vessels	
Obligations	Minimum performance requirements
i. Members shall submit to the CCSBT Executive Secretary, the list of Carrier Vessels that are authorised to receive SBT transhipments from its LSTLVs at sea or in port.	1. Operating systems and processes to: <ol style="list-style-type: none"> <li>a. authorise specific Carrier Vessels to receive at-sea and/or in-port transhipments from its authorised Fishing Vessels (LSTLVs);</li> <li>b. ensure authorised Carrier Vessels that tranship at sea will meet their obligations to (see Transhipment Monitoring performance requirements 3.3):               <ol style="list-style-type: none"> <li>i. provide access and accommodation to observers,</li> <li>ii. cooperate with observers in relation to carrying out their duties, and</li> <li>iii. not interfere with, or seek to influence, observers in any way;</li> </ol> </li> </ol>

### 2.3 Record of Authorised Carrier Vessels

Obligations	Minimum performance requirements
<p>ii. Each Member shall promptly notify the Executive Secretary, after the establishment of the initial CCSBT Record of Carrier Vessels, of any addition to, any deletion from and/or any modification of the CCSBT Record of Carrier Vessels, at any time such changes occur.</p>	<ul style="list-style-type: none"> <li>c. provide required information on authorised Carrier Vessels to the Executive Secretary within 15 days of the vessel being authorised, and before such vessels are actually used in transhipments;</li> <li>d. submit any updates to the Executive Secretary promptly, and not later than 15 days from the date the change(s) occur(s), and before such vessels are actually used in transhipments; and</li> <li>e. ensure all authorisations, and any updates, are submitted electronically to the Executive Secretary using the Data Provision Form for CCSBT Record of Authorised Carrier Vessels.</li> </ul>
<p>iii. Carrier Vessels authorised to conduct at-sea or in-port transhipments shall be required to install and operate a Vessel Monitoring System (VMS).</p>	<p>1. Operating systems and processes to ensure that Carrier Vessels are only to be authorised to carry out transhipments if:</p> <ul style="list-style-type: none"> <li>a) The Carrier Vessel already has an operational VMS installed, or the Carrier Vessel undertakes to install an operational VMS before any authorisation and transhipments of SBT take place, and</li> <li>b) The VMS transmits at frequency sufficient to show transhipping operations, and</li> <li>c) The VMS will function effectively in the expected operating conditions.</li> </ul>

### 3.3 Transhipment Monitoring Program (Resolution)

**Title:** Resolution on Establishing a Program for Transhipment by Large-Scale Fishing Vessels

**Link:** [https://www.ccsbt.org/sites/default/files/userfiles/file/docs\\_english/operational\\_resolutions/Resolution\\_Transhipment.pdf](https://www.ccsbt.org/sites/default/files/userfiles/file/docs_english/operational_resolutions/Resolution_Transhipment.pdf)

**Notes:**

- To enable interoperability between the CCSBT, IOTC and ICCAT transhipment monitoring programs, for the purpose of this measure, the IOTC/ICCAT Secretariat, observers, transhipment declarations and registration numbers may be treated as being the CCSBT equivalents provided that the presence of SBT is reported at each stage (from the initial observer deployment request through to the transhipment declaration).
- Section 2 of this Resolution relates to the establishment and maintenance of a record of authorised Carrier Vessels (Carrier Vessel means any vessel, ~~except a container vessel~~, that receives SBT transhipped from a LSTLV) that are authorised to receive SBT at sea or in port from tuna longline fishing vessels with freezing capacity (LSTLVs). ~~The~~ obligations [Section 2 of this Resolution](#) are set out in section 2.3 of this Appendix so that it is together with the other CCSBT Authorisation measures.
- [In 2023, EC 30 agreed that a special temporary allowance of 130 t would be provided to Indonesia per year from 2024 to 2026 inclusive, subject to paragraphs 85 and 86 of the EC 30 report. This condition for a special temporary allowance for Indonesia includes compliance with transhipment-related obligations. In addition, CC 18 agreed on a two-year trial that would include a targeted Quality Assurance Review \(QAR\) in 2025 to independently assess the performance of the trial \(paragraphs 68 of the CC 18 report\).](#)

3.3 Transhipment Monitoring Program	
A. Obligations (At-sea Transhipments)	Minimum performance requirements
i. Transhipments by LSTLVs in waters under the jurisdiction of the Members are subject to prior authorisation from the Coastal State / Fishing Entity concerned. An original or copy of the documentation of Coastal State/Fishing Entity prior authorisation must be retained on the LSTLV and made available	<p><b><i>Unless otherwise specified, the Flag State of the authorised fishing vessel (LSTLV) is responsible for meeting the minimum performance requirements set out in section 3.3.</i></b></p> <p>1. Operating systems and processes to ensure:</p>

3.3 Transhipment Monitoring Program	
A. Obligations (At-sea Transhipments)	Minimum performance requirements
<p>to the CCSBT Observer when requested.</p> <p>ii. Members shall take the necessary measures to ensure that LSTLVs flying their flag comply with the following conditions:</p> <p>a. LSTLVs <del>are</del>shall not <del>be</del> authorised to tranship at sea, unless they have obtained prior authorisation from their Flag State / Fishing Entity. An original or copy of the documentation of prior authorisation must be retained on the LSTLV and made available to the CCSBT observer when requested.</p> <p>To receive prior authorisation, the master and/or owner of the LSTLV must notify the following information to its Flag State / Fishing Entity authorities at least 24 hours in advance of an intended transhipment:</p> <ul style="list-style-type: none"> <li>• Name, &amp; CCSBT Registration Number &amp; IMO Number of the transhipping LSTLV &amp; receiving Carrier Vessel;</li> <li>• <del>P</del>Tonnage and product type<sup>1</sup> to be transhipped (by species where known);</li> <li>• <del>Tonnage by product to be transhipped;</del></li> <li>• Date &amp; location of transhipment; and</li> </ul>	<p>a. the authorisation document(s) from the Coastal State Fishing Entity (where applicable) and/or Fishing State Entity, including details of the intended transhipment provided by the master or owner of the LSTLV, is/are available on the LSTLV prior to the transhipment occurring;</p> <p>b. any Carrier Vessel receiving the transhipped SBT is meeting its obligations to provide access and accommodation to observers, and to cooperate with the observer in relation to the performance of his or her duties (see Carrier Vessel Authorisation minimum performance requirements, section 2.3).</p> <p>2. Rules in place to ensure:</p> <p>a. all SBT transhipments receive prior authorisation;</p> <p>b. fishing vessels are authorised on the CCSBT authorised fishing vessel register on the date(s) the SBT are harvested, retained on board, transhipped and landed;</p> <p>c. Carrier Vessels are authorised on the CCSBT authorised; Carrier Vessel register on the date(s) any transhipments occur;</p>

<sup>1</sup> Product type is the processed state e.g. refer to the types of product listed in the CCSBT Transhipment Declaration in Annex I

3.3 Transhipment Monitoring Program	
A. Obligations (At-sea Transhipments)	Minimum performance requirements
<ul style="list-style-type: none"> <li>• Geographic location of the SBT catches,</li> </ul> <p>b. The <u>master and/or owner of the</u> LSTLV concerned shall complete and transmit to its Flag State / Fishing Entity, and, where applicable, the Coastal State/ Fishing Entity not later than <u>15 working</u> days after the transhipment, the CCSBT transhipment declaration<sup>2</sup>.</p>	<p>d. a named CCSBT observer is on board the Carrier Vessel;</p> <p>e. no SBT transhipment occurs without an observer on board except in the case of 'force majeure' (as notified to the Executive Secretary);</p> <p>f. transhipment declarations are completed, signed and transmitted by the LSTLV and the Carrier Vessel, in accordance with paragraphs <u>15</u> and <u>21, 23 and 24</u> of the <a href="#">Transhipment Resolution</a>, in particular that:</p> <p>i) The <u>master and/or owner of the</u> LSTLV shall transmit <del>its CCSBT Registration Number and</del> a completed CCSBT Transhipment Declaration to its Flag State / Fishing Entity, not later than <u>15 working</u> days after the transhipment; <u>and</u></p> <p>ii) <u>The master of the carrier vessel shall transmit its CCSBT Registration Number and a completed CCSBT Transhipment Declaration to the CCSBT Secretariat and the Flag Member/</u></p>
<p>iii. The master of the receiving Carrier Vessel shall:</p> <p>a. complete and transmit the CCSBT transhipment declaration to the CCSBT Secretariat and the Flag Member/CNM of the LSTLV, along with its CCSBT Registration Number, within 24 hours of the completion of the transhipment; and</p> <p>b. no later than 48 hours before <u>the first point of</u> landing, transmit a CCSBT transhipment declaration, along with its CCSBT Registration Number, to the competent authorities of the State / Fishing Entity where the landing takes <u>place</u>.</p>	

**Commented [Sec1]:** Updated to match the appropriate paragraph numbers in the revised Transhipment Resolution (2023)

**Commented [Sec2]:** I don't like pre-deterministic statements so let's leave it out and revisit after CCSBT32.

<sup>2</sup> As specified in Annex 1 of the Transhipment Resolution.



3.3 Transhipment Monitoring Program	
A. Obligations (At-sea Transhipments)	Minimum performance requirements
iv. Each Member shall ensure that all Carrier Vessels transhipping at sea have on board a CCSBT observer in accordance with the CCSBT Regional Observer Program <sup>3,4</sup> .	<p><u>CNM of the LSTLV, within 24 hours of the completion of the transhipment.</u></p> <p>3. Operating systems and processes to:</p> <ul style="list-style-type: none"> <li>a. issue transhipment authorisations;</li> <li>b. verify the date and location of transhipments;</li> <li>c. request placement of observers on board Carrier Vessels;</li> <li>d. notify any cases of 'force majeure' (where transhipment occurs without an observer on the Carrier Vessel) to Executive Secretary within 15 days of the event occurring;</li> <li>e. ensure observers can board the LSTLV (provided it is safe to do so) before transhipment takes place, and have access to personnel and areas necessary to monitor compliance with paragraph 6(a) of Annex 2 of the <a href="#">Transhipment Resolution</a>;</li> <li>f. enable observers to report any concerns about inaccurate documentation or obstruction, intimidation, or influence in relation to carrying out their duties;</li> </ul>
v. Vessels shall be prohibited from commencing or continuing transhipping at sea without a CCSBT regional observer on board <sup>4</sup> , except in cases of 'force majeure' duly notified to the Executive Secretary.	

<sup>3</sup> The CCSBT Regional Observer Program is described at Annex 2 of the Transhipment Resolution. The description includes obligations of the Flag State/Fishing Entity of both Carrier Vessels and LSTLVs to the observers which are not described here. In order to place a CCSBT observer on board a Carrier Vessel, the Member must submit an observer deployment request to the Secretariat before the transhipment, stating that SBT will be transhipped.

<sup>4</sup> With the exception that Indonesia may fulfill the requirements of the Regional Observer Program through the use of its own national observers where SBT is being transhipped at-sea to the Indonesian-flagged wooden Carrier Vessels listed in Annex IV of CCSBT's Transhipment Resolution during the agreed timeframe of its trial at-sea transhipment programme which commenced on 1 November 2023 for a two-year period.

3.3 Transhipment Monitoring Program	
A. Obligations (At-sea Transhipments)	Minimum performance requirements
	<ul style="list-style-type: none"> <li>g. monitor compliance with the control measures; and</li> <li>h. impose sanctions or corrective action programmes for any non-compliance detected.</li> </ul>
<p><u>vi. Indonesia shall provide directly to both the CCSBT and IOTC Secretariats, copies of all data and documentation required by and within the timeframes set out in the respective CCSBT and IOTC Transhipment Resolutions.</u></p>	<p><u>1. Operating systems and processes to ensure:</u></p> <ul style="list-style-type: none"> <li><u>a. Submission to the CCSBT Secretariat of all required transhipment observer programme information relating to:</u> <ul style="list-style-type: none"> <li><u>i. Observer designation: including the observer details and evidence to demonstrate sufficient experience and knowledge of CCSBT conservation and management measures, species identification and fishing gear and the ability to observe, record, report and verify carrier and fishing vessel transfers and SBT onboard; and</u></li> <li><u>ii. Observer deployment: including 5-day reports, transhipment declarations and observer reports, and any other required notifications, reports and revisions thereof.</u></li> </ul> </li> <li><u>b. The items listed in a. above are submitted within the required timeframes.</u></li> </ul>
<p><u>vii. Replacement of any Indonesian-flagged wooden carrier vessels in Annex IV is only permitted if the material of the substitute vessel shall remain wooden and the carrying capacity or fish hold volume is not larger than the vessel(s) being replaced.</u></p>	<p><u>1. Rules in place to ensure that:</u></p> <ul style="list-style-type: none"> <li><u>a. Any replacement Indonesian CVs are notified to the Secretariat promptly and before they receive any at-sea transhipments of SBT, and</u></li> <li><u>b. Do not have a greater hold volume (gross tonnage used as a proxy) than the CV being replaced, and</u></li> <li><u>c. The replacement vessel is also of wooden construction.</u></li> </ul>

3.3 Transhipment Monitoring program	
B. Obligations (In-port Transhipments)	Minimum performance requirements
<p><del>vi</del>.viii. For effective in-port inspections, Flag States of fishing vessels shall designate foreign ports of transhipment of southern bluefin tuna for their vessels, prohibit such transhipment at other foreign ports and communicate with those designated Port States to share relevant information required for effective monitoring.</p>	<p>1. Operating systems and processes in place to:</p> <ol style="list-style-type: none"> <li>provide a list of designated foreign ports where SBT transhipments are permitted to occur to the Executive Secretary on an annual basis before the annual meeting of the Compliance Committee.</li> </ol>
<p><del>vii</del>.ix. Members shall take necessary measures to ensure that the following conditions are complied with for in-port transhipments:</p> <p>a) Prior to transhipping, the <del>master</del>Captain of the LSTLV must notify the following information to the Port State authorities, at least 48 hours in advance or immediately after the end of fishing operations if the time to the port is less than 48 hours:</p> <ul style="list-style-type: none"> <li>The name of the LSTLV, <u>IMO number</u> and its number in the CCSBT record of fishing vessels;</li> <li>the name of the Carrier Vessel, <u>IMO number</u> and its number in the CCSBT Record of Carrier Vessels,</li> <li><del>the</del>tonnage and product <u>type</u><sup>1</sup> to be transhipped (<u>by species where known</u>);</li> <li><del>the tonnage by product to be transhipped;</del></li> <li>the date and location of transhipment;</li> </ul>	<p>1. Rules in place to ensure:</p> <ol style="list-style-type: none"> <li>Port State authorities are notified at least 48 hours prior to (or immediately after) any pending in-port transhipment by the LSTLV master, and this notification includes key details about the intended transhipment;</li> <li>LSTLVs are authorised on the CCSBT authorised fishing vessel register on the date(s) the SBT are harvested, retained on board, transhipped and/or landed;</li> <li>Carrier Vessels are authorised on the CCSBT authorised Carrier Vessel register on the date(s) any transhipment/ transportation of SBT occurs;</li> <li>transhipment declarations are completed, signed and transmitted by the LSTLV and the Carrier Vessel, in accordance with paragraphs <u>32, 34<del>25</del></u> and <u>35<del>28</del></u> of the <a href="#">Transhipment Resolution</a>, in particular that:</li> </ol>

**Commented [Sec3]:** Updated to match the appropriate paragraph numbers in the revised Transhipment Resolution (2023)

3.3 Transhipment Monitoring program	
B. Obligations (In-port Transhipments)	Minimum performance requirements
<ul style="list-style-type: none"> <li>the major fishing grounds geographic location of the SBT catches;</li> </ul>	

3.3 Transhipment Monitoring program	
B. Obligations (In-port Transhipments)	Minimum performance requirements
<p>b) At the time of the transhipment, the <u>master and/ or owner</u> Captain of the LSTLV must inform its Flag State/ Fishing Entity of the LSTLV of the following;</p> <ul style="list-style-type: none"> <li>- the product <u>types</u><sup>1</sup> and quantities involved;</li> <li>- the date and place of the transhipment;</li> <li>- the name, registration number, <u>IMO number</u> and flag of the receiving Carrier Vessel, and its number in the CCSBT Record of Carrier Vessels authorised to receive <u>SBT</u> transhipments;</li> <li>- the geographic location of the SBT catches.</li> </ul>	<p>i) The <u>master and/ or owner of the</u> LSTLV transmits a completed CCSBT Transhipment Declaration and its number in the CCSBT Record of Fishing Vessels to its Flag State / Fishing Entity, not later than 15 days after the transhipment occurs, or, if the SBT are being transferred temporarily to bonded cold storage, not later than 15 days from the date on which the SBT is transferred into the bonded cold storage facility.</p>
<p><u>viii-x.</u> The <u>master and/or owner</u> Captain of the LSTLV concerned shall complete and transmit to its Flag State/ Fishing Entity the CCSBT transhipment declaration, along with its number in the CCSBT Record of Fishing Vessels, in <u>accordance with</u> the format set out in <b>Annex I</b> not later than 15 days after the transhipment<sup>5</sup>.</p>	<p>2. Operating systems and processes in place to:</p> <ol style="list-style-type: none"> <li>verify the date and location of transhipments;</li> <li>monitor compliance with the control measures; and</li> <li>impose sanctions or corrective action programmes for any non-compliance detected.</li> </ol>

<sup>5</sup> In the case where the SBT are being transferred temporarily to bonded cold storage before being transferred to the receiving Carrier Vessel, then the LSTLV shall complete and transmit the transhipment declaration to its Flag State/ Fishing Entity, and where applicable, the Coastal State/ Fishing Entity, not later than 15 days from the date from which the SBT is transferred into the bonded cold storage facility. In such cases, the receiving Carrier Vessel agent shall sign the transhipment declaration on behalf of the Carrier Vessel master.

3.3 Transhipment Monitoring program	
B. Obligations (In-port Transhipments)	Minimum performance requirements
<p><del>ix.xi.</del> For in-port transhipments, the master of the Receiving Carrier Vessel shall:</p> <ul style="list-style-type: none"> <li>a. Not later than 24 hours before the beginning of the transhipment, inform the Port State authorities of the quantities of SBT to be transhipped to the receiving Carrier Vessel; and</li> <li>b. Within 24 hours of the completion of the transhipment, complete and transmit the CCSBT transhipment declaration to the Port State authorities, the Flag Member/ CNM of the LSTLV, and the CCSBT Secretariat. A copy of this transhipment declaration shall be retained on board the receiving Carrier Vessel.</li> <li>c. Following receipt of a transhipment including SBT, and after leaving the transhipment port, at least 48 hours before landing its transhipped SBT, complete and transmit a copy of the CCSBT transhipment declaration referred to in paragraph <a href="#">3527</a>, to the competent authorities of the landing State/ Fishing Entity where the landing will take place.</li> </ul>	

**Commented [Sec4]:** Updated to match the appropriate paragraph numbers in the revised Transhipment Resolution (2023)

### 3.3 Transhipment Monitoring program

#### B. Obligations (In-port Transhipments)

#### Minimum performance requirements

~~x~~.xii. The Port State and the Landing State (where the transhipment in port occurred) shall make an effort to take the appropriate measures to verify the accuracy of the information received and shall cooperate with the work of the Flag Member or CNM of the LSTLV to ensure that reported catches, transhipments and landings are consistent with the reported catch amounts of each vessel. This verification shall be carried out so that the vessel suffers the minimum interference and inconvenience, and so that degradation of the fish is avoided.

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3.3 Transhipment Monitoring program	
C. Obligations (General Provisions)	Minimum performance requirements
<p><del>xiii.</del> <u>LSTLVs and Carrier Vessels authorised by Members and CNMs</u> which tranship at sea or in-port shall be required to install and operate a VMS in accordance with paragraph <del>34</del> of CCSBT's <del>Resolution on the development and implementation of a Vessel Monitoring System</del> <u>Resolution on the CCSBT Vessel Monitoring System (VMS) (201706)</u>, and any successor Resolution, including any future revisions thereto.</p>	<p>1. Operating systems and processes and rules to ensure that <u>LSTLVs and Carrier Vessels authorised by Members and CNMs</u> are only to be authorised to conduct transhipments if:</p> <p>a) The LSTLV already has an operational VMS installed, or the LSTLV undertakes to install an operational VMS before any authorisation/ transhipments of SBT take place, and</p> <p>b) The VMS transmits at frequency sufficient to show transhipping operations, and</p> <p>c) The VMS will function effectively in the expected operating conditions.</p>
<p><u>xiv. Port State Members and CNMs should prioritise inspection in port of (a) Carrier Vessels whose AIS/VMS signals disappear under suspicious circumstances and without explanation and/or indicate dubious movements, as well as (b) Carrier Vessels which are not CCSBT-authorized to verify that SBT is not on board.</u></p>	

**Commented [Sec5]:** Paragraph number updated to refer to the equivalent paragraph in the 2017 VMS Resolution

**Commented [Sec6]:** Updated to be consistent with the text in paragraph 9 of CCSBT's Transhipment Resolution by referring to CCSBT's VMS Resolution (adopted in 2017)

**Commented [Sec7]:** No corresponding MPR has been added because the obligation does not state "shall"

3.3 Transhipment Monitoring program	
C. Obligations (General Provisions)	Minimum performance requirements
<p><u>xv. Carrier Vessels authorised to receive transhipments of SBT shall be required to separate and stow transhipped SBT by fishing vessel and develop a stowage plan to show the locations in the hold of the quantities of SBT by fishing vessel. The Carrier Vessel master shall submit the stowage plan to inspectors, if requested.</u></p>	<p><u>1. Operating systems and processes are in place to ensure:</u></p> <p><u>a. That CVs stow SBT received from each LSTLV separately from SBT received from other LSTLVs, and</u></p> <p><u>b. Accurate stowage plans are available for each CV with SBT on board and are updated whenever new transhipments of SBT are received.</u></p>
<p><del>xii-xvi.</del> To ensure the effectiveness of the CCSBT conservation and management measures pertaining to the Catch Documentation System (CDS):</p> <p>a. In validating the necessary CCSBT CDS documentation, as required by the CDS, Flag Members and CNMs of LSTLVs shall ensure that transhipments are consistent with the reported catch amount by each LSTLV.</p>	<p>1. Operating systems and processes are in place to:</p> <p>a. identify and resolve any discrepancies between the LSTLV's reported catches, CDS documents, transhipment declarations and the amount of fish counted as transhipped,</p> <p>b. 100% supervision of all fish transhipped at sea.</p> <p>2. Operating systems and processes are in place to allow any CDS forms for domestically landed SBT that were transhipped at sea to be validated at the time of landing.</p>



3.3 Transhipment Monitoring program	
C. Obligations (General Provisions)	Minimum performance requirements
<p>b. The Flag Member or CNM of LSTLVs shall validate the necessary CCSBT CDS documentation for the transhipped fish, as required by the CDS, after confirming that the transhipment was conducted in accordance with this Resolution. If transhipped at sea this confirmation shall be based on the information obtained through the CCSBT Regional Observer Program.</p> <p>c. Members and CNMs shall require that SBT caught by LSTLVs, when imported into the territory of a Member or CNM, be accompanied by the necessary CCSBT CDS documentation validated for the vessels on the CCSBT Authorised Vessel List and a copy of the CCSBT transhipment declaration.</p>	
<p><del>xiii-xvii.</del> All SBT landed or imported <del>by Members and CNMs</del>, either unprocessed or after having been processed on board and which are transhipped, shall be accompanied by the CCSBT transhipment declaration until the first sale has taken place.</p>	<p>1. Rules, systems and procedures to ensure:</p> <p>a. all transhipped product is accompanied by a signed Transhipment Declaration until the first point of sale.</p>
<p><del>xiv-xviii.</del> The costs of implementing this program shall be financed by the Flag Members of LSTLVs wishing to engage in transhipment operations.</p>	

## 6.5 Annual Reporting to the Compliance Committee and the Extended Commission (Suite of Decisions/Resolutions/Recommendations)

**Title:** Template for the Annual Report to the Compliance Committee and Extended Commission<sup>6</sup>

**Links:** The following points provide the source of the associated obligations within this measure:

- i. Procedural Rule 10 of the Terms of Reference of the Compliance Committee:-  
[https://www.ccsbt.org/sites/default/files/userfiles/file/docs\\_english/basic\\_documents/terms\\_of\\_reference\\_for\\_subsiary\\_bo\\_dies.pdf](https://www.ccsbt.org/sites/default/files/userfiles/file/docs_english/basic_documents/terms_of_reference_for_subsiary_bo_dies.pdf)
- ii. Paragraph 26 (and Attachment 5) of the CC7 report:-  
[https://www.ccsbt.org/sites/default/files/userfiles/file/docs\\_english/meetings/meeting\\_reports/ccsbt\\_19/report\\_of\\_CC7.pdf](https://www.ccsbt.org/sites/default/files/userfiles/file/docs_english/meetings/meeting_reports/ccsbt_19/report_of_CC7.pdf)
- iii. Paragraph 5 (a) of the Resolution on establishing the CCSBT vessel monitoring system:-  
[https://www.ccsbt.org/sites/default/files/userfiles/file/docs\\_english/operational\\_resolutions/Resolution\\_VMS.pdf](https://www.ccsbt.org/sites/default/files/userfiles/file/docs_english/operational_resolutions/Resolution_VMS.pdf)
- iv. Paragraph ~~381~~ of the Resolution on establishing a program for transshipment by large-scale fishing vessels:-  
[https://www.ccsbt.org/sites/default/files/userfiles/file/docs\\_english/operational\\_resolutions/Resolution\\_Transhipment.pdf](https://www.ccsbt.org/sites/default/files/userfiles/file/docs_english/operational_resolutions/Resolution_Transhipment.pdf)
- v. Paragraphs 2 and 3 of the Recommendation to mitigate the impact on ecologically related species of fishing for southern bluefin tuna:-  
[https://www.ccsbt.org/sites/default/files/userfiles/file/docs\\_english/operational\\_resolutions/Recommendation\\_ERS.pdf](https://www.ccsbt.org/sites/default/files/userfiles/file/docs_english/operational_resolutions/Recommendation_ERS.pdf)
- vi. Paragraphs 1 and 2 of the Resolution on Reporting all Sources of Mortality of Southern Bluefin Tuna  
[https://www.ccsbt.org/sites/default/files/userfiles/file/docs\\_english/operational\\_resolutions/Resolution\\_Reporting\\_on\\_all\\_Sources\\_of\\_Mortality.pdf](https://www.ccsbt.org/sites/default/files/userfiles/file/docs_english/operational_resolutions/Resolution_Reporting_on_all_Sources_of_Mortality.pdf)
- vii. Paragraph 25 (and Attachment 5) of the CC7 report (best estimates of all sources of mortality):-  
[https://www.ccsbt.org/sites/default/files/userfiles/file/docs\\_english/meetings/meeting\\_reports/ccsbt\\_19/report\\_of\\_CC7.pdf](https://www.ccsbt.org/sites/default/files/userfiles/file/docs_english/meetings/meeting_reports/ccsbt_19/report_of_CC7.pdf)

**Commented [Sec8]:** Updated to match the appropriate paragraph numbers in the revised Transshipment Resolution (2023)

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<sup>6</sup> The current reporting template can be found at the following link: <https://www.ccsbt.org/en/content/annual-reporting-and-documentation-requirements-members-and-cnms>

6.5 Annual Reporting to the Compliance Committee and the Extended Commission	
Obligations	Minimum performance requirements
i. Each Member shall submit the above Annual Report to the Compliance Committee (CC) and Extended Commission (EC) in accordance with the agreed format for the template of the annual Report to the CC and EC <sup>6630</sup> four weeks prior to the convening of the Compliance Committee meeting.	1. The report is submitted electronically to Executive Secretary at least 4 weeks before the annual meeting of the Compliance Committee. No sections of the template should be left empty. If the required information is not collected, this should be stated rather than leaving a section empty. Similarly, if a section is not applicable to a particular fishery, this should be stated instead of leaving that section empty.
ii. Each Member should continue to improve the detail in its report to the Compliance Committee and Extended Commission <sup>6630</sup> , and the report should be kept up to date and submitted to future annual meetings of the Compliance Committee.	
iii. Members shall provide VMS summary reports in advance of the Compliance Committee meeting.	1. Complete section 2.2.4: VMS of the Annual National Report template. <sup>6630</sup>
iv. Members shall report the following to the Executive Secretary 4 weeks prior to the Annual Compliance Committee meeting: <ul style="list-style-type: none"> <li>o The quantities of SBT transhipped at sea and in port during the previous fishing season;</li> <li>o The list of the LSTLVs registered in the CCSBT Authorised Vessel List which have transhipped at sea and in port during the previous fishing season, and</li> <li>o A comprehensive report assessing the content and conclusions of the reports of the observers assigned to Carrier Vessels which have received at-sea transhipments from their LSTLVs during the previous fishing season.</li> </ul>	1. Complete section 2.4 of the Annual National Report template. <sup>6630</sup>

**6.5 Annual Reporting to the Compliance Committee and the Extended Commission**

<b>Obligations</b>	<b>Minimum performance requirements</b>
v. Members will report annually to the Compliance Committee on the action they have taken pursuant to paragraphs 1, 2 and 3 of the Recommendation to mitigate the impact on ecologically related species of fishing for SBT.	1. Complete sections Annex1, 2(a) – (c) of the Annual National Report template. <del>6630</del>
vi. All Members will report complete and accurate data on the quantity of all sources of southern bluefin tuna mortality. If the Member is unable to provide complete and accurate data, it will report annually a best estimate of all sources of Southern Bluefin Tuna mortality including recreational catch and discards.	1. Complete sections 2.1.1, 2.1.2 and 2.1.3 of the Annual National Report template. <del>6630</del>

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